



02-15-07

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.

Pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 100.00

Complete if Known

Application Number	10/684,899
Filing Date	Oct. 9, 2003
First Named Inventor	Brent E. Little
Examiner Name	John D. Lee
Art Unit	2874
Attorney Docket No.	3568.006

METHOD OF PAYMENT (check all that apply)☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☐ Deposit Account Deposit Account Number: _____ Deposit Account Name: _____

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)	Small Entity Fee (\$)
50	25

Each independent claim over 3 (including Reissues)

200	100
-----	-----

Multiple dependent claims

360	180
-----	-----

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP = 0	x		0

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP = 0	x		0

HP = highest number of independent claims paid for, if greater than 3.

Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 = 0	/ 50 =	(round up to a whole number) x		0

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

Other (e.g., late filing surcharge): **Certificate of Correction - Code 1811**

100.00

SUBMITTED BY

Signature	<i>Marc Brockhaus</i>	Registration No. (Attorney/Agent)	40,923	Telephone	405-607-8600
Name (Print/Type)	Marc A. Brockhaus			Date	Feb. 13, 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

FEB 21 2007

Certificate of Correction
FEB 20 2007



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/684,899

Filing Date

Oct. 9, 2003

First Named Inventor

Brent E. Little

Art Unit

2874

Examiner Name

John D. Lee

Attorney Docket Number

3568.006

ENCLOSURES (Check all that apply)

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/
Incomplete ApplicationReply to Missing Parts
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a



Provisional Application

Power of Attorney, Revocation of POA,
Change of Correspondence Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____

☐ Landscape Table on CD

After Allowance Communication to TC

Appeal Communication to Board
of Appeals and InterferencesAppeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify
below):**Certificate of Correction****Remarks**

1. Credit Card Payment Form (1 page);
2. Fee Transmittal (1 page);
3. Transmittal (1 page);
4. Request For Certificate of Correction of Patent (2 pages);
5. Certificate of Correction (1 page); and
6. Postcard.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

DUNLAP, CODDING & ROGERS, P.C.

Signature

Marc Brockhaus

Printed name

Marc A. Brockhaus

Date

Feb. 13, 2007

Reg. No.

40,923

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: *** SENT BY EXPRESS MAIL NO. EV 616014499 US, DATED 02/13/2007 ***

Signature

Marc Brockhaus

Typed or printed name

Marc A. Brockhaus

Date

Feb. 13, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

FEB 21 2007



Express Mail: EV 616014499 US
Date Deposited: February 13, 2007

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.: 7,079,727 B1
Serial No.: 10/684,899
Issued: July 18, 2006
Inventor: Brent E. Little

Atty. Docket No.: 3568.006
Customer No.: 30589

**Attn: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT
FOR PTO AND/OR APPLICANT'S MISTAKES (37 CFR 1.322(a) and 1.323)**

Enclosed are:

- ☒ [X] PTO-2038 Credit Card Form;
- ☐ [X] sb17 Fee Transmittal Form;
- ☐ [X] sb21 Transmittal Form;
- ☒ [X] 1 sheet(s) of form sb44 Certificate of Correction; and
- ☐ [X] pre-addressed Postal Card

[X] PTO ERRORS

The exact column and line number where the mistakes occur in the patent as well as reference to the exact page and line number where the correct information occurs in the application file:

Column 8, Line 21: After the words "glasses or" insert -- polymers. Common materials that form the core are doped silica, silicon, silicon nitride, --.
(See Application, filed 10/9/03, pg. 14, line 1)

02/15/2007 CNEGA1 00000027 7079727

01 FC:1011

100.00 0P

FEB 21 2007

☐ No fee is submitted herewith.

☒ APPLICANT'S ERRORS

It is noted that errors appear in this patent of a clerical or typographical nature or a minor character as more fully described below. These errors occurred in good faith, and correction thereof does not involve such changes in the patent as would constitute new matter or would require re-examination. Thus, a Certificate of Correction is requested.

The exact column and line number where the mistakes occur in the patent are:

Column 4, Line 62: After the word "passive" insert the word -- guide --.

Column 5, Line 29: After the word "fabrication" delete the word "of".

Line 32: Delete the word "of" at the beginning of the line.

Line 54: Delete the word "wavegudie" and replace with -- waveguide --.

Column 7, Line 48: Delete the word "Propagaiton" and replace with -- Propagation --.

☒ Payment by credit card. Form PTO-2038 is attached..

☒ RETURN OF CERTIFICATE

Please send the Certificate of Correction to the undersigned.

Marc Brockhaus

Marc A. Brockhaus, Reg. No. 40,923
DUNLAP CODDING & ROGERS, P.C.
P.O. Box 16370
Oklahoma City, Oklahoma 73113
Telephone: 405/607-8600
Facsimile: 405/607-8686

Attorney for Applicant

FEB 21 2007

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**Page 1 of 1

PATENT NO. : 7,079,727 B1

APPLICATION NO.: 10/684,899

ISSUE DATE : July 18, 2006

INVENTOR(S) : Brent E. Little

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 4, Line 62: After the word "passive" insert the word -- guide --.

Column 5, Line 29: After the word "fabrication" delete the word "of".

Line 32: Delete the word "of" at the beginning of the line.

Line 54: Delete the word "wavegudie" and replace with -- waveguide --.

Column 7, Line 48: Delete the word "Propagaiton" and replace with -- Propagation --.

Column 8, Line 21: After the words "glasses or" insert -- polymers. Common materials that form the core are doped silica, silicon, silicon nitride, --.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Marc A. Brockhaus, Reg. # 40,923
Dunlap Coddling & Rogers, P.C.
P. O. Box 16370
Oklahoma City, OK 73113

FEB 21 2007

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.